

# QMSCERT® CERTIFICATE

QMSCERT, an accredited provider of third-party system certification organization for ISO/IEC 27001 information security management systems and acting in accordance with the requirements of ISO 17021 for registrars attests that:

## HELLENIC ACADEMIC & RESEARCH INSTITUTIONS CERTIFICATION AUTHORITY - HARICA

NETWORK OPERATIONS CENTER,  
NATIONAL AND KAPODISTRIAN UNIVERSITY OF ATHENS  
operator site address:  
IT CENTER, ARISTOTLE UNIVERSITY OF THESSALONIKI

with a scope of:

### *Provision of Certificate Authority Services*

*& with documented ETSI Certification Policy  
Revision No: 3.4 Issue Date: April 20<sup>th</sup>, 2016*

has established a management system that is in conformance with the ETSI Standards

**TS 101 456 V1.4.3, TS 102 042 V2.4.1**

Policy Requirements

**LCP, NCP, NCP+, QCP, QCP+, DVCP, OVCP**

June 8<sup>th</sup>, 2018

Certification Period Ending

June 9<sup>th</sup>, 2015

Initial Certification Date

June 9<sup>th</sup>, 2015

Certification Date



For the QMSCERT Board

This certification is subject to Annual Surveillance Audits. The certification is valid (for three years) only if it is followed by the annual surveillance audits approval.

For information concerning the validity of the certificate, you can visit the site [www.qmscert.com](http://www.qmscert.com)



QMSCERT® N. 270415/5414 Rev.1

This certificate is accompanied by Annex A (Audit Report) which is renewed every year

QMSCERT® 26th OCTOBER 90 Str. - 54627 - THESSALONIKI - HELLAS





# QMSCERT®

Annex A (Surveillance Audit Report) to Certificate No: 270415/5414 Rev.1

Organization's Name: Hellenic Academic & Research Institutions Certification Authority (HARICA)

Issue No: 2, Issue Date: July 8<sup>th</sup>, 2016


In particular, the independent audit confirmed compliance as follows:

- A Certification Policy and Certification Practices Statement (CP/CPS) for the Hellenic Academic and Research Institutions Public Key Infrastructure has been issued and is available online at <http://www.harica.gr/documents/CPS-EN.pdf>
- CP/CPS has been approved by Senior Management of the CA and contains all necessary provisions as specified in the applicable standards and requirements, including those required for the extension to Qualified Certificates.
- The CP/CPS document is an integral part of the management system documentation which has been established by the CA; system also includes internal procedures, guides, forms and records which form a complete framework for the management of the security and quality of the provided trust services.
- Documentation, including CP/CPS document, is monitored and reviewed regularly by CA's authorized personnel in order to meet updated requirements, changes in the context or business processes or the organization, or technical developments.
- The implementation of the management system is also monitored and reviewed both in terms of daily operations by CA's authorized personnel, but also through Internal Audits and Management Review.
- Risk Management process is used in order for the CA to assess threads and weaknesses, measure risks and form adequate action plans to mitigate risks.
- All relevant roles and responsibilities have been identified and assignments have been made to qualified CA personnel, in order to form a competent administration team. All CA's administration personnel have been found as qualified for their background, training, experience and professional diligence.
- Independent audit confirmed the adequacy and proper implementation of all necessary controls to comply with CA practice requirements (Ch. 7). These include controls for all Key and Certificate management life cycle (7.1-2) processes, namely key generation, storage, backup, recovery, distribution, subject registration, certificate generation, revocation, dissemination of certificates and terms and conditions to subscribers and relying parties.
- Proper controls have been implemented to ensure clear and undeniable acceptance of the terms and conditions by subscribers prior to dissemination or usage.
- CA has removed previous restrictions (i.e. "Academic and Educational purposes, and only under the .gr, .edu, .eu and .org domains") for the use of its Certificates; this is an extension planned and prepared already prior to the previous audit, and includes improvement actions taken in the interim.
- CA does not undertake any liabilities, including financial, civil or other responsibilities, except for cases where there is evidence of fraudulent intent or serious negligence by its operators.
- CP / CPS has been updated in order to meet the specifications context for Cross-Signing services; in order to provide these, CA shall plan and develop the processes needed for service realization.
- In order to provide its services to new markets, CA shall seek any opportunities to further enhance its capacity, including but not limited to liability coverage, human & infrastructure resource improvements.

## Next Audit

According to scheduling rules of ISO 17021 standard, in order for this Certificate to remain valid until its expiration date, a 2<sup>nd</sup> Surveillance Audit should take place between nineteen (19) and twentyfour (24) months period since 27/04/2015 (on-site audit Stage II completion date); thus, between 27/11/2016 and 26/4/2017.



  
For QMSCERT