



GLOBALG.A.P.

GLOBALG.A.P.

Data Access Rules

ENGLISH VERSION 3.7

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1 INTRODUCTION

FoodPLUS GmbH/GLOBALG.A.P. Secretariat offers customers various services for managing the certification and inspection/audit process. This requires data to be collected and processed. According to our data protection policy we commit to strict requirements for processing personal data and ensure compliance with national and international data protection laws, in particular the General Data Protection Regulation (GDPR). Further information on how we process personal data can be found in our privacy policy https://www.globalgap.org/uk_en/Privacy-Policy.

Among other things, personal data is collected to allow the GLOBALG.A.P. Secretariat to contact producers/companies, e.g., in case of food crises, complaints, or audits in the framework of the GLOBALG.A.P. Integrity Program.

This document describes the default minimum data access settings of each of the following standards and add-ons, independent of their versions (unless a specific version or standard type is expressly referred to in this document):

- GLOBALG.A.P. Integrated Farm Assurance (IFA) standard
- GLOBALG.A.P. Crops for Processing (CfP) standard
- GLOBALG.A.P. Harmonized Produce Safety Standard (HPSS)
- GLOBALG.A.P. Produce Safety Standard (PSS)
- GLOBALG.A.P. Produce Safety Assurance standard
- GLOBALG.A.P. benchmarked schemes and checklists – equivalent and resembling
- GLOBALG.A.P. Chain of Custody (CoC) standard
- GLOBALG.A.P. Compound Feed Manufacturing (CFM) standard
- GLOBALG.A.P. Produce Handling Assurance (PHA) standard
- GLOBALG.A.P. Risk Assessment on Social Practice (GRASP) add-on

It details for each standard what information is published in the GLOBALG.A.P. database (<https://database.globalgap.org/>) and visible to the different data access groups as defined below.

Data access rules for any other standards (e.g., Primary Farm Assurance standard) and add-ons (other than GRASP) are detailed in their specific normative documents. However, the definitions in this document are applicable for all GLOBALG.A.P. standards and add-ons.

By participating in the respective standard/add-on, the producer/company/operation grants access to the producer/company/operation data as well as product and certification data as displayed in the respective tables.

At any time, producers (this term also includes producer groups) as well as producer group members and companies/operations whose data is registered may release more data to the different data access groups via the certification body (CB)/verification body (VB). However, where a standard or an add-on as such is not visible to the public it is not possible to release any data to the public. Furthermore, extended data access rights can be assigned by the producer/company/operation to individually registered companies with a personalized GLOBALG.A.P. database account (e.g., retailers).

2 DEFINITIONS

2.1 DATA ACCESS GROUPS

Several users such as producers, CBs, retailers, suppliers, etc. have access to the GLOBALG.A.P. database located at <https://database.globalgap.org/>.

Access rights to the data stored in the database depend on the data access group to which the user belongs. A user may belong to more than one data access group.

The following data access groups exist:

- GLOBALG.A.P. Secretariat (chapter 2.1.1)
- CB/VB (chapter 2.1.2)
- Market participant (chapter 2.1.3)
- Observer (chapter 2.1.4)
- Public user (chapter 2.1.5)

Except for the data access group “public user”, the users have a personalized account with personalized login credentials. In certain cases, the additional data access groups “GRASP observer” and “GRASP status observer”, as well as “GFSI observer” exist. Further information on those additional data access groups can be found in chapters 4 (for GRASP) and 5 (GFSI) of this document.

2.1.1 Data access group: GLOBALG.A.P. Secretariat

This group represents individuals in the GLOBALG.A.P. Secretariat with access to the data registered in the GLOBALG.A.P. database. Only GLOBALG.A.P. staff who have signed a confidentiality agreement will be assigned to this data access group.

GLOBALG.A.P. Secretariat has access to all data filed in the GLOBALG.A.P. database.

2.1.2 Data access group: CB/VB

This group represents all CBs (accredited third-party inspection and CBs) and VBs (nonaccredited, first- or second-party inspection bodies), who have extended data access rights for their own clients. CB and VB administrators are allowed not only to read, but also to enter data into the GLOBALG.A.P. database for their clients.

If a producer does not have any products with an active status (see definition below), any CB that has the producer’s GLOBALG.A.P. Number (GGN), is able to transfer the producer to that CB and thereby gain access to the producer data registered in the GLOBALG.A.P. database as well as to product data (certification history).

2.1.3 Data access group: market participant

This group represents companies or individuals who trade with the product originating from certified production processes. To become a market participant, the company/individual shall subscribe to GLOBALG.A.P. bookmarking. A list of all database users that have the status “market participant” is publicly accessible under <https://database.globalgap.org/>.

2.1.4 Data access group: observer

Some standards and add-ons are only visible to specific observers (e.g., the owner of an add-on), but not to the public. Observers have specific, extended data access rights. The members of the data access group are defined for each of the applicable standards or add-ons.

2.1.5 Data access group: public user

Any user of the GLOBALG.A.P. database without a personalized account is considered a “public user” and granted public access rights.

2.2 TYPES OF DATA AND DATA RELEASE

2.2.1 Standard data/Standard visibility

Standard data/Standard visibility covers the general visibility of the respective standard or add-on in the GLOBALG.A.P. database to the defined data access groups. These settings cannot be modified.

2.2.2 Producer/Company/Operation data

Producer/Company/Operation data covers all producer-/company-/operation-related data described in the current version of the normative documents of the respective standard or add-on (e.g., GLOBALG.A.P. general regulations, specific add-on rules). Examples of producer data are the company's name and address.

Any producer/company/operation data to which observers, market participants, and/or public users have access as indicated in the tables detailing the access rights for the respective standard/add-on is only visible to these data access groups if at least one product has an active status (see definition below) for the respective producer/company/operation.

2.2.3 Product and certification data

Product and certification data cover all product-related data described in the current version of the normative documents of the respective standard or add-on (e.g., GLOBALG.A.P. general regulations, specific add-on rules). Examples of product data are the certification option and the quantity.

Furthermore, all certification-/assessment-related data is covered, e.g., the validity of the certificate/assessment and the GLOBALG.A.P. certificate number.

All data to which observers, market participants, and/or public users has access as indicated in the tables detailing the access rights for the respective standard/add-on is only visible to these data access groups for the respective producer/company/operation.

2.3 FURTHER DEFINITIONS

2.3.1 Active status of a product

A product that has one of the following statuses in the GLOBALG.A.P. database: accepted, certified, assessed, open non-conformance, suspended, or self-declared suspension.

The active status may refer to a current certification cycle, but also to the next certification cycle if it has already been created in the GLOBALG.A.P. database.

2.3.2 Data partners

GLOBALG.A.P. registers and licenses data partners. Data partners make the data stored in the GLOBALG.A.P. database available to data access groups that are entitled to access such data in accordance with these data access rules. All data partners must strictly adhere to these data access rules and the GLOBALG.A.P. privacy policy.

2.3.3 Audit Online Hub

The Audit Online Hub serves as a storage location for "Inspection/audit result" (part of "Product and certification data") for certain standards. Inspection/audit results (checklists) are uploaded to the Audit Online Hub (<https://audit.globalgap.org/>) and cached in the GLOBALG.A.P. database. The inspection/audit results are available and visible as defined in the data access rights (see chapter 3.3).

3 GENERIC GLOBALG.A.P. DATA ACCESS RULES

The generic GLOBALG.A.P. data access rules are applicable to the following standards:

- GLOBALG.A.P. Integrated Farm Assurance (IFA) standard
- GLOBALG.A.P. Crops for Processing (CfP) standard
- GLOBALG.A.P. Harmonized Produce Safety Standard (HPSS)
- GLOBALG.A.P. Produce Safety Standard (PSS)
- GLOBALG.A.P. Produce Safety Assurance standard
- GLOBALG.A.P. benchmarked schemes and checklists – equivalent and resembling
- GLOBALG.A.P. Chain of Custody (CoC) standard
- GLOBALG.A.P. Compound Feed Manufacturing (CFM) standard
- GLOBALG.A.P. Produce Handling Assurance (PHA) standard

3.1 STANDARD DATA/STANDARD VISIBILITY

	Data access groups			
	GLOBALG.A.P. Secretariat	CB	Market participant	Public user
Standard	x	x	x	x

x = Indicates that this data is visible to users assigned to the respective data access group (made available either by the GLOBALG.A.P. Secretariat itself or by one of its data partners)

3.2 PRODUCER/COMPANY/OPERATION DATA

	Data access groups			
	GLOBALG.A.P. Secretariat	CB	Market participant	Public user
Company (producer/operation)				
Company name	x	x	x ⁸⁾	x ⁸⁾
Company address ¹⁾	x	x	x ⁸⁾	
Company city	x	x	x ⁸⁾	x ⁸⁾
Company country	x	x	x	x

	Data access groups			
	GLOBALG.A.P. Secretariat	CB	Market participant	Public user
Company contact information ²⁾	x	x		
Company website (if available)	x	x		
GGN/CoC Number/Global Location Number (GLN)/PHA-N ³⁾	x	x	x	x
Previous GGN/CoC Number	x	x	x	x
Legal registration per country	x	x		
Location ⁴⁾	x	x	x	
CB registration number ⁵⁾	x	x	x	x
Contact person (responsible for legal entity)				
Contact name ⁶⁾	x	x		
Contact information ²⁾	x	x		
Product handling unit (PHU)/Production site information				
Name of PHU/production site	x	x	x ⁸⁾	
PHU/Production site address ⁷⁾	x	x	x ⁸⁾	
PHU/Production site contact information ²⁾	x	x		
Sub-GLN(s)	x	x	x	x
Location ⁴⁾	x	x	x	
Products per PHU/production site	x	x		

x = Indicates that this data is visible to users assigned to the respective data access group (made available either by the GLOBALG.A.P. Secretariat itself or by one of its data partners)

Notes

- 1) Company address includes: street address (or information available to describe the producer/company/operation location), postal address, postal code, and state/province
- 2) Contact information includes (where available): phone number, fax number, and email address
- 3) PHA-N: Number for PHA standard registered operations
- 4) Location includes: northern/southern latitude + western/eastern longitude
- 5) Number assigned to the producer/company/operation by the CB
- 6) Contact name includes: title, first name, and last name
- 7) PHU/Production site contact address includes: street address (or information available to describe the PHU/production site location), postal address, postal code, city, and country
- 8) Data is visible for Option 1 and 3 producers. Where the standard allows group certification, data is also visible for Option 2 and 4 producer groups (certificate holder), but not visible by default for producer group members. Producer group member data is only visible to the respective data access group if access has been granted by the producer group member.

3.3 PRODUCT AND CERTIFICATION DATA

3.3.1 Product and certification data for IFA, CfP, HPSS, PSS, Produce Safety Assurance standard, and benchmarked schemes/checklists

If product data is not relevant to a benchmarked scheme, this data is not displayed at all for the respective scheme (e.g., parallel production and/or parallel ownership).

	Data access groups			
	GLOBALG.A.P. Secretariat	CB	Market participant	Public user
Product	x	x	x	x
Product status	x	x	x	x
Standard version	x	x	x	x
Certification option including multisite information	x	x	x	x
For producer groups: number of producer group members	x	x	x	x
Certificate validity date	x	x	x	x
CB	x	x	x	x
GLOBALG.A.P. certificate number	x	x	x	x
Participation in unannounced reward program	x	x	x	x
Countries of destination	x	x	x	x
Quantity data ¹⁾	x	x	x	
Parallel production and/or ownership	x	x	x	x
Assessment conducted remotely	x	x	x	x
For crops: harvest included	x	x	x	x
For crops: product handling	x	x	x	x
For aquaculture: growth stage(s) covered by certification	x	x	x	x
For aquaculture: brood stock/seedlings (ova/ juvenile) additionally purchased	x	x	x	x
For aquaculture: postharvest activity	x	x	x	x
For aquaculture: availability of Global Food Safety Initiative (GFSI) certificate	x	x	x	x
For aquaculture: feed supply	x	x	x	x
For aquaculture: GRASP overall assessment result ²⁾	x	x	x	x
Certification data ³⁾	x	x	x	x
Inspection/audit result ⁴⁾	x	x		

x = Indicates that this data is visible to users assigned to the respective data access group (made available either by the GLOBALG.A.P. Secretariat itself or by one of its data partners)

Notes

- 1) For crops: production surface
For livestock: production in metric tons live weight
For aquaculture: production in metric tons live weight for farmed fish, number of estimated organisms for in-house brood stock and seedlings (ova/juvenile)
- 2) Possible results: fully compliant, improvements needed, not compliant but some steps taken, not compliant, not applicable
- 3) Data includes “valid from” date of the certificate and date of the certification decision, not applicable for CfP standard
- 4) Inspection/audit report details linked to the assessment including date of assessment, checklist, and non-conformances/non-compliances.

3.3.2 Product and certification data for CoC and CFM standards

	Data access groups			
	GLOBALG.A.P. Secretariat	CB	Market participant	Public user
Product/For CoC: sub-scope	x	x	x	x
Product status	x	x	x	x
Standard version	x	x	x	x
Certification option including multisite information	x	x	x	x
Certificate validity date	x	x	x	x
CB	x	x	x	x
GLOBALG.A.P. certificate number	x	x	x	x
For CFM: participation in unannounced reward program	x	x	x	x
Countries of destination	x	x	x	x
Quantity data ¹⁾	x	x	x	
Assessment conducted remotely	x	x	x	x
For CFM: parallel ownership	x	x	x	x
For CoC: product labeling done?	x	x	x	x
For CoC: availability of GFSI recognized certification	x	x	x	x
For CoC: GGN label logo license holder?	x	x	x	x
For CoC: supply chain or retail/restaurant	x	x	x	x
Certification data ²⁾	x	x	x	x
Inspection/audit result ³⁾	x	x		

x = Indicates that this data is visible to users assigned to the respective data access group (made available either by the GLOBALG.A.P. Secretariat itself or by one of its data partners)

Notes

- 1) Amount in metric tons
- 2) Data includes “valid from” date of the certificate and date of certification decision
- 3) Inspection/Audit report details linked to the assessment including date of assessment, checklist, and non-conformances/non-compliances

3.3.3 Product and certification data for PHA standard

	Data access groups			
	GLOBALG.A.P. Secretariat	CB	Market participant	Public user
Product	x	x	x	x
Product status	x	x	x	x
Standard version ¹⁾	x	x	x	x
Certification option including multisite information	x	x	x	x
Certificate validity date	x	x	x	x
CB	x	x	x	x
GLOBALG.A.P. certificate number	x	x	x	x
Countries of destination	x	x	x	x
Quantity data ²⁾	x	x		
Operation scope ³⁾	x	x	x	x
Covered by Produce Safety Rule (PSR) and/or covered by Preventive Controls for Human Food (PCHF)	x	x	x	x
Observation of product during audit	x	x	x	x
Audit result ⁴⁾	x	x		

x = Indicates that this data is visible to users assigned to the respective data access group (made available either by the GLOBALG.A.P. Secretariat itself or by one of its data partners)

Notes

- 1) Includes type of operation (enclosed operation or open shed operation)
- 2) Number of production lines/types and number of registered production sites
- 3) Packing house, preprocessing, cooling/cold storage, and/or storage/distribution
- 4) Documents linked to the audit including final audit report/checklist and non-conformances/non-compliances, corrective action report, and the certificate issued by the CB

4 DATA ACCESS RULES FOR GRASP

Definition of GRASP observer groups:

GRASP observer: trading companies that belong to the “market participant” data access group, have a GLOBALG.A.P. bookmarking account, and have access to the GLOBALG.A.P. database as GRASP observers.

GRASP status observer: traders and trader-producers that, due to their business activities and their role in the supply chain, need information on the GRASP status of their suppliers (producers).

Traders and trader-producers are defined as follows:

- Traders: companies or individuals who trade products and may need to verify the GRASP status of their suppliers.
- Trader-producers: producers who also act as traders. These producers may need to verify the GRASP status of their suppliers to be able to comply with the requirements of their buyers.

	Data access groups				
	GLOBALG.A.P. Secretariat	CB	GRASP observer	GRASP status observer	Public user
Standard visibility – GRASP add-on	x	x	x	x	x

x = Indicates that this standard is visible to users assigned to the respective data access group (made available either by the GLOBALG.A.P. Secretariat itself or by one of its data partners)

4.1 PRODUCER/COMPANY/OPERATION DATA

Producer/Company/Operation data is displayed to the GRASP observer according to the data access rights of market participants for the GLOBALG.A.P. primary production certificate (e.g., IFA or equivalent benchmarked scheme/checklist) to which the GRASP assessment is linked (see chapter 3.1).

4.2 PRODUCT DATA

	Data access groups				
	GLOBALG.A.P. Secretariat	CB	GRASP observer	GRASP status observer	Public user
For producer groups: product	x	x	x	x	x
Assessment status	x	x	x	x	x
Standard version	x	x	x	x	x
Overall assessment result	x	x	x	x	
Assessment option	x	x	x	x	x
For producer groups: Number of GRASP producer group members and total number of producer group members linked to the producer group	x	x	x	x	x
CB	x	x	x	x	x
GRASP assessment number	x	x	x	x	x
Quantity data ¹⁾	x	x	x		
Assessment conducted remotely	x	x	x	x	x
Product handling	x	x	x	x	x
Checklist result including assessment data ²⁾	x	x	x		

x = Indicates that this data is visible to users assigned to the respective data access group (made available either by the GLOBALG.A.P. Secretariat itself or by one of its data partners)

Notes

¹⁾ Number of GRASP employees

²⁾ Assessment report details (checklist) linked to the assessment as well as date of assessment, date of upload of assessment report to the GLOBALG.A.P. database, and validity of the assessment

5 DATA ACCESS RULES FOR DATA ACCESSED BY GLOBAL FOOD SAFETY INITIATIVE

IMPORTANT NOTE: This chapter is applicable as of 1 July 2022 and is retroactively valid for all data collected from 1 April 2022. To clarify: Data collected between 1 April and 1 July 2022 can only be accessed by the GFSI observer from 1 July 2022.

GFSI observer: This data access group exclusively represents the Global Food Safety Initiative (<https://mygfsi.com/>), being an independent organization, which recognizes certain GLOBALG.A.P. standards (for particular scopes) that meet the GFSI Benchmarking Requirements. This data access group is exclusively dedicated to GFSI. No other body can be awarded the status "GFSI observer". Certification to GFSI-recognized standards allows producers to meet market demand and provide access to the majority of global markets. Data being shared in accordance with this chapter 5 is made transparent to GFSI itself and any potential third-party client using this platform. Any processing of data by this data access group, e.g., collecting, storing, editing, publishing, and sharing of data with third parties, will only be conducted for GFSI business purposes.

The GFSI observer is granted access to data for the following standard versions:

- GLOBALG.A.P. Integrated Farm Assurance (IFA) standard, versions 5.3-GFS and 5.4-1-GFS
- GLOBALG.A.P. Harmonized Produce Safety Standard (HPSS), version 1.2
- GLOBALG.A.P. Produce Handling Assurance (PHA) standard, version 1.2

	GFSI observer
Standard data³⁾	x
Company (producer/operation)	
Company name ⁷⁾	x
Company city ⁷⁾	x
Company country	x
GGN/PHA-N ¹⁾ /HPSS-GGN	x
Product data	
Product	x
Product status	x
Standard version ⁸⁾	x
Certificate validity date	x
CB	x
Certificate ID ²⁾	x
Date certificate was issued	x
Type of audit ⁴⁾	x
Use of technologies (for the audit) ⁵⁾	x
URL to certificate ⁶⁾	x
Checklist result, including audit data ⁹⁾	x

x = Indicates that this data is visible to users assigned to the respective data access group

Notes

- 1) PHA-N: Number for PHA standard registered operations
- 2) Technical unique identifier for each certificate
- 3) Indicating the standard, e.g., IFA
- 4) Announced or unannounced (if information is available)
- 5) On site, or remote (if information is available)
- 6) <https://database.globalgap.org/globalgap/protected/search/SearchRedirect.faces?loginMode=1&searchQuery=4049928145659> (GGN parameter to be replaced at the end)
- 7) Data is visible for Option 1 producers. Where the standard allows group certification, data is also visible for Option 2 producer groups (certificate holder), but not visible by default for producer group members
- 8) May include type of operation (enclosed operation or open shed operation)
- 9) As part of its surveillance activities, GFSI regularly audits GFSI-recognized scheme owners in order to maintain their recognition status. This audit includes the review of selected certification files, e.g., the application form, checklist, report, certificate, and any other relevant documents that are necessary for the certification process to be concluded. Because the checklist and the report will be available in the GLOBALG.A.P. IT systems, the information can be randomly selected and promptly displayed to the GFSI auditor.

VERSION/EDITION UPDATE REGISTER

New document	Replaced document	Date of publication	Description of modifications
191206_Data_Access_Rules_V3_2_en	190515_Data_Access_Rules_V3_1_en	6 December 2019	<p>1, 3, and 3.2.1 – Produce Safety Standard and Produce Safety Assurance standard included</p> <p>3.2.1 note 4) – “date of assessment” added</p> <p>3.2.2 – CoC – Text for product data modified and two new types of product data added</p> <p>3.2.2 note 3) – “date of assessment” added</p>
200519_Data_Access_Rules_V3_3_en	191206_Data_Access_Rules_V3_2_en	19 May 2020	<p>1 – Reference to German laws replaced by reference to the GDPR</p> <p>2.1.2 – Editorial changes</p> <p>2.3.2 – “Data Partners” added</p> <p>3 and 3.1 – Text revised with regard to visibility of “Standards”</p> <p>3.3.1 and 3.3.2 – “Assessment conducted remotely” added</p> <p>4 – GRASP status observer added</p> <p>4.2 – “Assessment conducted remotely” added</p>
210511_Data_Access_Rules_V3_4_en	200519_Data_Access_Rules_V3_3_en	8 April 2021	<p>2.3.3 – Audit Online Hub section added</p> <p>4 – Visibility of GRASP changed (to public)</p>
211025_Data_Access_Rules_V3_5_en	210511_Data_Access_Rules_V3_4_en	25 October 2021	3.2 – Location is visible for market participants
220510_Data_Access_Rules_V3_6_en	211025_Data_Access_Rules_V3_5_en	10 May 2022	5 – Data access rules for data accessed by GFSI
220523_Data_Access_Rules_V3_7_en	220510_Data_Access_Rules_V3_6_en	23 May 2022	5 – Checklist sharing with GFSI added

If you want to receive more information about the modifications in this document, please contact the GLOBALG.A.P. Secretariat at translation_support@globalgap.org.